



**GARDINER CITY COUNCIL
AGENDA ITEM INFORMATION SHEET**



Meeting Date	11/13/2019	Department	City Clerk
Agenda Item	3.a Public Hearing for a New Liquor License for Jokers and Rogues, LLC		
Est. Cost	n/a		

Background Information

Attached is a new liquor license application for Jokers and Rogues, LLC (John Callinan, primary owner). This business will be located at 339 Water Street. It will be a nano-brewery and tasting room.

All paperwork is in order and attached along with department head approvals.

Requested Action	n/a
City Manager and/or Finance Review	n/a
Council Vote/ Action Taken	
Departmental Follow-Up	

City Clerk Use Only	1 st Reading _____	Advertised <u>11/05/2019</u>	<div style="border: 1px dashed black; padding: 5px; width: fit-content;"> EFFECTIVE DATE _____ </div>
	2 nd Reading _____	Advertised _____ w/in 15 Days	
	Final to Dept _____	Updated Book _____	Online _____



**APPLICATION FOR A
LICENSE/BUSINESS/EVENT**

Date Received in Clerk's 10/21/19
 Received by: KSG
 Office Amount Received \$210
CH #2670
 Approved _____ Denied _____

Business Name	JOKERS AND ROGUES, LLC
Business Location	339 WATER ST
Business Mailing Address	PO. Box 367, GARDINER, ME 04345
Owner Name	JOHN CALLINAN
Mailing Address (if different)	
Phone Number	207-458-8273
Business Number	
Type of Business	NANO-BREWERY AND TASTING ROOM
Description of Business (attach paper if necessary)	ONE BIBL BREWING SYSTEM/TASTING RM.

Type of License Being Applied for: (check all that apply)

Doing Business As (One-time Registration)	<input checked="" type="checkbox"/> \$10	10
Special Event - Exhibitions & Shows (Minor)	\$50	
Special Event - Exhibitions & Shows (Major)	\$100	
Street Sellers (Annual)	\$50	
Street Sellers (Per Event)	\$20	
Street Sellers (Farmer's Market) (Annual)	\$10	
Junkyards (<100 ft highway) (Annual)	\$200	
Junkyards (>100 ft highway) (Annual)	\$50	
Automobile Recycling Business (5-year)	\$250	
Other	\$	
Victualers License to Sell Food (Annual)	<input checked="" type="checkbox"/> \$50	50
Victualers License - One Day (Festival)	\$25	
Victualers Non-profit License to sell food (Annual)	\$10	
Roving/On Wheels/Lunch Wagon (Annual)	\$100	
Roving/On Wheels/Lunch Wagon (Non-profit)	\$10	
Roving/On Wheels/Lunch Wagon (3-Day)	\$25	
Taxicabs/Vehicles for Hire	\$50	
Sales (Special, OOB, etc) (Per Event/Sale)	\$25	
Beano/Bingo/Games of Chance/Pinball Machines (Annual)	\$10	
Shooting Galleries/Pool Hall/Bowling Alley (Annual)	\$50	
Pawn (Annual)	\$50	
Tavern Keeper (Annual)	\$25	
Liquor/Bottle Club (1-50 seats) (Annual)	<input checked="" type="checkbox"/> \$75	75
Liquor/Bottle Club (51-100 seats) (Annual)	\$100	
Liquor/Bottle Club (101+ seats) (Annual)	\$150	
Catering Off-Premises (liquor is served) (per event)	\$10	
Entertainment/Special Amusement License (Annual)	<input checked="" type="checkbox"/> \$75	75
Outside Consumption Permit (Annual)	\$25	

Please send application and payment (payable to City of Gardiner) to:
 City Clerk's Office, 6 Church Street, Gardiner, ME 04345



STATE OF MAINE
DEPARTMENT OF ADMINISTRATIVE AND FINANCIAL SERVICES
BUREAU OF ALCOHOLIC BEVERAGES AND LOTTERY OPERATIONS
DIVISION OF LIQUOR LICENSING AND ENFORCEMENT

Division Use Only	
License No:	
Class:	By:
Deposit Date:	
Amt. Deposited:	
Payment Type:	

Application for Brewer of Malt Liquors

For Annual Malt Liquor Production under 30,000 barrels (930,000 gallons)

All Questions Must Be Answered Completely. Please print legibly.

Section I: License Status and Information

1. New license or renewal of existing license? New Renewal

The fee for a new license or renewal of an existing license is \$60 - \$50 application fee and \$10 filing fee

2. If a renewal, please provide the following information; if a new license, skip to Section II:

- a. Current license expiration date: _____
- b. Number of gallons produced during most recent licensure period: _____
- c. Number of samples (servings) sold during most recent licensure period: _____
See 28-A MRS §1355-A, sub-§2, ¶F regarding paid samples.

3. Other optional privileges requested: (check all to be added to your primary license)

- Farmers' Market – additional \$75 fee
- Additional warehouse space – additional \$600 fee per year or \$50 fee per month

Section II: Licensee/Applicant(s) Information

Legal Business Entity Applicant Name (corporation, LLC):	Business Name (D/B/A):
Jokers And Rogues, LLC	Jokers and Rogues
Individual or Sole Proprietor Applicant Name(s):	Physical Location:
	339 Water Street, Gardiner, ME 04345
Individual or Sole Proprietor Applicant Name(s):	Mailing address, if different:
	PO Box 367, Gardiner, ME 04345
Mailing address, if different from DBA address:	Email Address:
	john-c@jokersandrogues.com
Telephone # Fax #:	Business Telephone # Fax #:
(207) 582-8811	(207) 582-8811

Federal Tax Identification Number: 84-1876341	Maine Seller Certificate # or Sales Tax #: Pending
Federal Basic Permit Number: BR-ME-21133	Website address:

1. Is licensee/applicant(s) a business entity such as a corporation or limited liability company?
 Yes No If Yes, complete Attachment 1 at the end of this application

2. Business records are located at the following address:

339 Water St., Gardiner, ME 04345

3. Do you own or have any interest in any another Maine Liquor License? Yes No

If yes, please list license number, business name, and complete physical location address: (attach additional pages as needed using the same format)

Name of Business	License Number	Complete Physical Address
		Jokers and Rogues

4. List name, date of birth, place of birth for all applicants including any manager(s) employed by the licensee/applicant. Provide maiden name, if married. (attach additional pages as needed using the same format)

Full Name	DOB	Place of Birth
John P. Callinan	05/23/1948	Worcester, MA
Richard P. McCormick	09/08/1965	Gardiner, ME
Residence address on all of the above for previous 5 years		
Name John P. Callinan	Address: 94 Wedgewood Dr., Winthrop, ME 04364	
Name Richard P. McCormick	Address: 187 Hinkley Rd., West Gardiner, ME 04345	
Name	Address:	
Name	Address:	

5. Is the licensee/applicant(s) citizens of the United States? Yes No
6. Is the licensee/applicant(s) a resident of the State of Maine? Yes No
7. For a licensee/applicant who is a business entity as noted in Section I, does any officer, director, member, manager, shareholder or partner have an interest, directly or indirectly in any manner, in any other business entity which is a holder of a wholesaler license granted by the State of Maine?

Yes No Not applicable – licensee/applicant(s) is a sole proprietor

8. Is the licensee/applicant(s) directly or indirectly giving aid or assistance in the form of money, property, credit, or financial assistance of any sort, to any person or business entity holding a liquor license granted by the State of Maine? Yes No

9. Will any law enforcement officer directly benefit financially from this license, if issued?

Yes No

If Yes, provide name of law enforcement officer and department where employed:

10. Has the licensee/applicant(s) ever been convicted of any violation of the liquor laws in Maine or any State of the United States? Yes No

If Yes, please provide the following information and attach additional pages as needed using the same format.

Name: _____ Date of Conviction: _____

Offense: _____ Location: _____

Disposition: _____

11. Has the licensee/applicant(s) ever been convicted of any violation of any law, other than minor traffic violations, in Maine or any State of the United States? Yes No

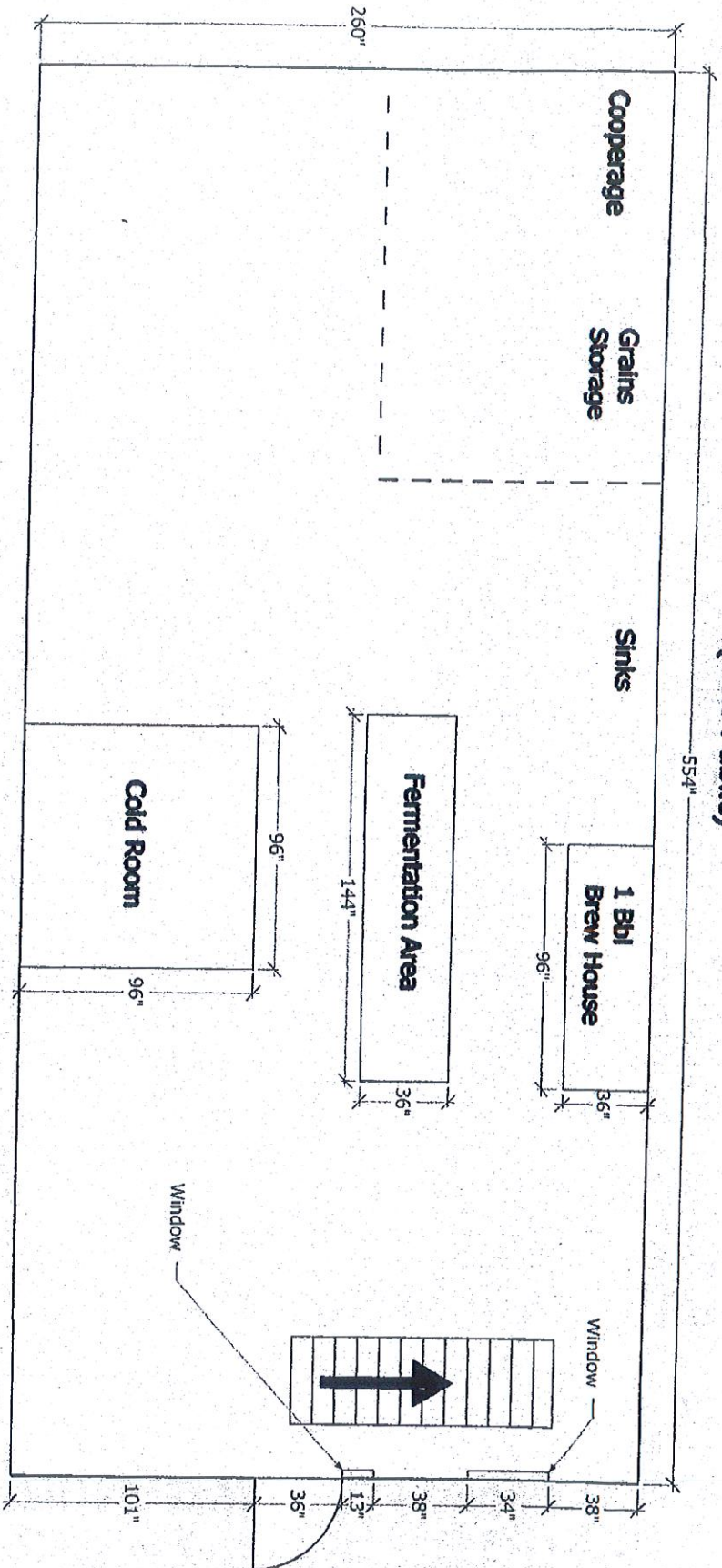
If Yes, please provide the following information and attach additional pages as needed using the same format.

Name: _____ Date of Conviction: _____

Offense: _____ Location: _____

Disposition: _____

Cellar Brew Area (Non-Public)



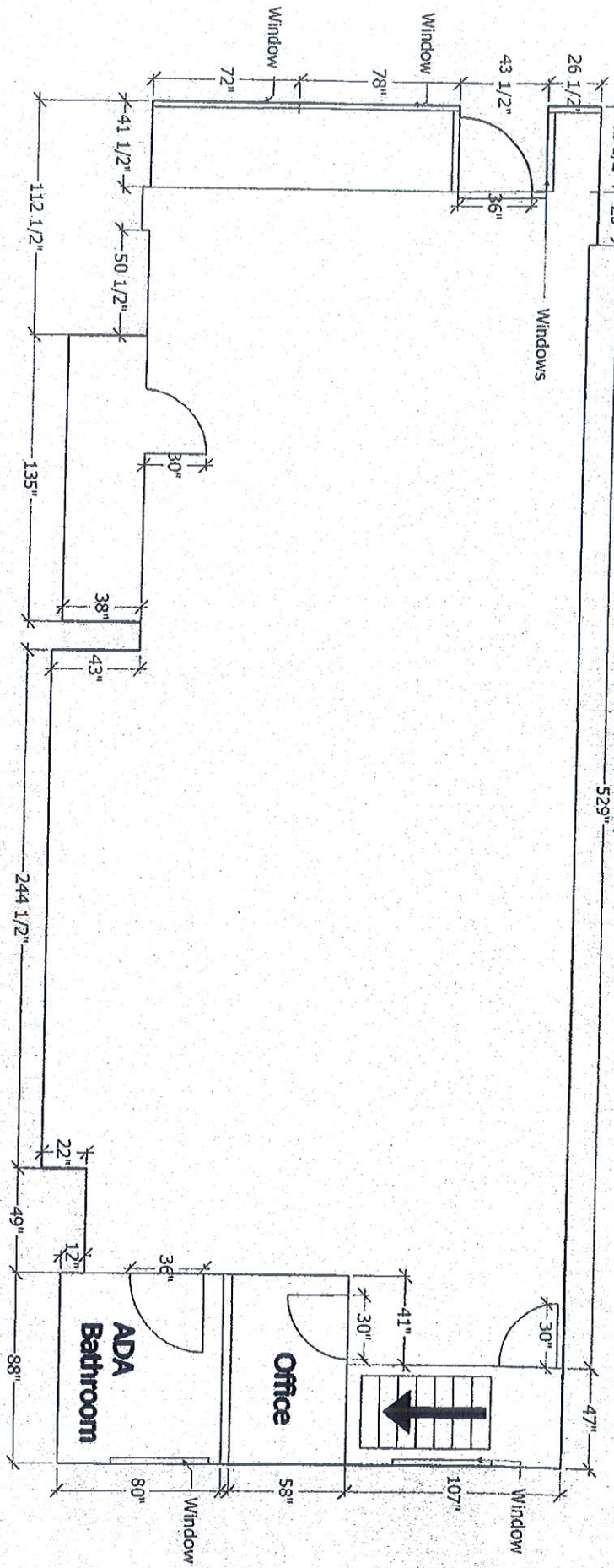
Section III Manufacturing Facility Floor Plan

In an effort to clearly define your license premise and the areas that consumption and storage of malt liquor is allowed, the Bureau requires all applications to include a diagram of the premise to be licensed.

Diagrams should be submitted on this form and should be as accurate as possible. Be sure to label the following areas: entrances, office area, coolers, storage areas, display cases, shelves, restroom, point of sale area, area of manufacturing, area of retail sales, area for on-premise consumption, outside area/decks or any other areas on the premise that you are requesting approval. Attached an additional page as needed to fully describe the premise.

SEE ATTACHED

**Street Level
Brew Pub
(Public Area)**



Section IV: Terms and Conditions of Licensure as a Brewer in Maine


- The licensee/applicant(s) agrees to be bound by and comply with the laws, rules and instructions promulgated by the Bureau.
- The licensee/applicant(s) agrees to maintain accurate records related to a license to be a brewer as required by the law, rules and instructions promulgated or issued by the Bureau if a license is issued as a result of this application.
- All manufacturers of alcoholic beverages must be registered with the Alcohol and Tobacco Tax and Trade Bureau (TTB). If you have not already registered, please see [TTB.gov](http://www.ttb.gov) for more information to register your business or see https://www.ttb.gov/public_info/5170-2a.htm
- Any change in the licensee's/applicant's licensed premises as defined in this application must be approved by the Bureau in advance.
- A wholesale licensee must not purchase alcoholic beverages from other than the primary source of supply within the United States. "Primary sources of supply" means the brewer, the bottler, the brand owner or the designated agent of any brewer or brand owner.
- The licensee/applicant(s) agrees to comply with 28-A MRS §1363 which provides, in part, that a manufacturer shall not, either directly or indirectly, lend any money, credit or equivalent thereof to any wholesaler in equipping, filling out, maintaining or conducting, either in whole or in part, an establishment of business where malt liquor, wine or spirits are sold, with the exception of the usual and customary credit of malt liquor, wine or spirits sold and delivered.
- All manufacturers must register product with the Bureau prior to selling their product in this State.
 - If this application is a **renewal** of your license, you must renew all product using Alcoholic Beverage Industry Portal on our website. There are some exceptions to this registration requirement, for more information, see Chapter 105, Product and Label Registration of the Bureau's administrative rules.
 - For new applicants, the registration has 4 components – product registration that includes your TTB COLA, territory assignment, primary source designation and price posting. Once a manufacturer is ready to sell, you must contact the Bureau at Liquor.Licensing@Maine.gov to have an account created to register your products. The Maine Alcoholic Beverage Industry Portal can be found by using this link.

Section V: Signature; Fee; Delivery of application

By signing this application, the licensee/applicant understands that false statements made on this application are punishable by law. Knowingly supplying false information on this application is a Class D Offense under Maine's Criminal Code, punishable by confinement of up to one year, or by monetary fine of up to \$2,000 or by both.

Please sign and date in blue ink.

Dated: 10/21/2019


Signature of Duly Authorized Person

Signature of Duly Authorized Person

John P. Callinan
Printed Name Duly Authorized Person

Printed Name of Duly Authorized Person

- A. Your completed application must be accompanied by the appropriate fee of **\$60.00**.
- B. If you choose any optional privileges to be added to you license in Section I.3, you must adjust your payment to reflect the additional fees.
 - 1. Please make your check payable to the **Treasurer, State of Maine**
- C. Where to send your application:
 - 1. Mailing address:
 - Bureau of Alcoholic Beverages and Lottery Operations
 - Division of Liquor Licensing and Enforcement
 - 8 State House Station
 - Augusta, ME 04333-0008
 - 2. Courier/overnight address:
 - Bureau of Alcoholic Beverages and Lottery Operations
 - Division of Liquor Licensing and Enforcement
 - 10 Water Street
 - Hallowell, ME 04347
- D. For questions on this application, please contact the Bureau at (207) 624-7220 or by email at Liquor.Licensing@Maine.gov.

Attachment 1
Required Additional Information for a Brewer's Licensee/Applicant(s)
Who are Legal Business Entities

Questions 1 to 4 of this part of the application must match information in Section II of the application above and match the information on file with the Maine Secretary of State's office. If you have questions regarding your legal entity name or DBA, please call the Secretary of State's office at (207) 624-7752.

All Questions Must Be Answered Completely. Please print legibly.

1. Exact legal name: Jokers and Rogues, LLC
2. Doing Business As, if any: Jokers and Rogues
3. Date of filing with Secretary of State: 05/14/2019 State in which you are formed: ME
4. If not a Maine business entity, date on which you were authorized to transact business in the State of Maine:

5. List the name and addresses for previous 5 years, birth dates, titles of officers, directors, managers, members or partners and the percentage ownership any person listed: (attached additional pages as needed)

Name	Address (5 Years)	Date of Birth	Title	Percentage of Ownership
John Callinan	94 Wedgewood Drive	05/23/1948	Managing	80%
	Winthrop, ME 04364		Partner	
Richard McCormick	187 Hinkley Road	08/08/1965	Brewer	20%
	West Gardiner, ME 04345			

(Stock ownership in non-publicly traded companies must add up to 100%.)

Farmers' Market Schedule

The following is Notification to the Bureau of date of the farmers' market that the licensee intends to taste and/or sell malt liquor that is produced in Maine by the licensee. The notification must be received by the Bureau 30 days prior to the first event for each farmers' market. This form needs to be completed for each farmers' market the licensee intends taste and/or sell its products. **Note:** This form does not need to be submitted with the application. For more information from Maine law on activities at farmers' market, see Sec. 6 of PL 2019, chapter 360.

License #:	Name of the farmers' Market:
Name of Licensee:	
Email/Fax Number/Mailing Address to send Response by the Bureau:	Address of the farmers' market:
Signature of duly authorized person:	Date:

Please check all that apply for the given date of the farmers' market (attach additional pages if needed)

	DATE	RETAIL SALES ONLY	TASTING		DATE	RETAIL SALES ONLY	TASTING
1				11			
2				12			
3				13			
4				14			
5				15			
6				16			
7				17			
8				18			
9				19			
10				20			

For Office Use Only:

Date Filed: _____

Approved

Not Approved

Date Approved: _____

Approved By: _____

Kelly Gooldrup

From: Kristopher McNeill
Sent: Monday, October 21, 2019 3:46 PM
To: Kelly Gooldrup
Subject: RE: Jokers & Rogues, LLC - Liquor/Victualer/DBA/Entertainment

No issues Kelly

Kris McNeill
Code Enforcement Officer

kmcneill@gardinermaine.com

FIRST PREVENTERS: A First Preventer may go under the title of building inspector, building official, code enforcement officer, fire chief, fire marshal, building safety official, electrical inspector, plumbing inspector, plan reviewer or health officer. But the labels merely obscure their common mission: to prevent harm by ensuring compliance with building safety codes before a disaster occurs. From hurricanes to tornados, floods, wildfires and earthquakes, building safety codes administered by First Preventers play a major role in saving lives, protecting property and reducing recovery costs often paid for by taxpayer dollars.

From: Kelly Gooldrup
Sent: Monday, October 21, 2019 2:39 PM
To: Al Nelson <ANelson@gardinermaine.com>; Christine Landes <CLandes@gardinermaine.com>; Jim Toman <JToman@gardinermaine.com>; Kristopher McNeill <kmcneill@gardinermaine.com>; Tony Laplante <TLaplante@gardinermaine.com>; Tracey Desjardins <TDesjardins@gardinermaine.com>
Subject: Jokers & Rogues, LLC - Liquor/Victualer/DBA/Entertainment

Please review the attached application for a new business proposal on Water Street - Jokers and Rogues, LLC. Application has been paid in full.

Thank you,

Kelly J. Gooldrup
City Clerk | Registrar of Voters
Notary Public | Dedimus Justice
City of Gardiner
6 Church Street
Gardiner, ME 04345
P: 207-582-4460 | F: 207-582-6895
E: kgooldrup@gardinermaine.com
W: www.gardinermaine.com



Kelly Gooldrup

From: Al Nelson
Sent: Monday, October 21, 2019 4:41 PM
To: Kelly Gooldrup
Cc: Christine Landes; Jim Toman; Kristopher McNeill; Tony Laplante; Tracey Desjardins
Subject: Re: Jokers & Rogues, LLC - Liquor/Victualer/DBA/Entertainment

FD has no issues

Sent from my iPhone

> On Oct 21, 2019, at 2:39 PM, Kelly Gooldrup <KGooldrup@gardinermaine.com> wrote:
>
> Please review the attached application for a new business proposal on Water Street - Jokers and Rogues, LLC.
> Application has been paid in full.
>
> Thank you,
>
> Kelly J. Gooldrup
> City Clerk | Registrar of Voters
> Notary Public | Dedimus Justice
> City of Gardiner
> 6 Church Street
> Gardiner, ME 04345
> P: 207-582-4460 | F: 207-582-6895
> E: kgooldrup@gardinermaine.com<mailto:kgooldrup@gardinermaine.com>
> W: www.gardinermaine.com<http://www.gardinermaine.com/>
> [cid:image002.jpg@01D5881D.4A5744C0]
>

Kelly Gooldrup

From: Tracey Desjardins
Sent: Tuesday, October 22, 2019 8:44 AM
To: Kelly Gooldrup; Al Nelson; Christine Landes; Jim Toman; Kristopher McNeill; Tony Laplante
Subject: RE: Jokers & Rogues, LLC - Liquor/Victualer/DBA/Entertainment

They have met with Kris and are good to go.

Tracey K. Desjardins

Economic Development Director/Planning
6 Church Street
Gardiner, ME 04345
Office: 207-582-4200 Cell: 207-242-1907 Fax: 207-582-6895
e: tdesjardins@gardinermaine.com
w: www.gardinermaine.com

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Under Maine's Freedom of Access ("Right to Know") law, all e-mail and e-mail attachments received or prepared for use in matters concerning the City of Gardiner, business or containing information relating to city business are likely to be regarded as public records which may be inspected by any person upon request, unless otherwise made confidential by law. If you have received this message in error, please notify us immediately by return email. Thank you for your cooperation

From: Kelly Gooldrup
Sent: Monday, October 21, 2019 2:39 PM
To: Al Nelson <ANelson@gardinermaine.com>; Christine Landes <CLandes@gardinermaine.com>; Jim Toman <JToman@gardinermaine.com>; Kristopher McNeill <kmcneill@gardinermaine.com>; Tony Laplante <TLaplante@gardinermaine.com>; Tracey Desjardins <TDesjardins@gardinermaine.com>
Subject: Jokers & Rogues, LLC - Liquor/Victualer/DBA/Entertainment

Please review the attached application for a new business proposal on Water Street - Jokers and Rogues, LLC.
Application has been paid in full.

Thank you,

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6 Church Street
Gardiner, ME 04345
P: 207-582-4460 | F: 207-582-6895
E: kgooldrup@gardinermaine.com
W: www.gardinermaine.com



Kelly Gooldrup

From: Jim Toman
Sent: Tuesday, October 22, 2019 6:37 AM
To: Kelly Gooldrup
Cc: Al Nelson; Christine Landes; Kristopher McNeill; Tony Laplante; Tracey Desjardins
Subject: Re: Jokers & Rogues, LLC - Liquor/Victualer/DBA/Entertainment

PD is good with issuance.

Chief James M. Toman
Gardiner Police Department
207-582-5150

Sent from my iPhone

> On Oct 21, 2019, at 2:39 PM, Kelly Gooldrup <KGooldrup@gardinermaine.com> wrote:
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> 6 Church Street
> Gardiner, ME 04345
> P: 207-582-4460 | F: 207-582-6895
> E: kgooldrup@gardinermaine.com<mailto:kgooldrup@gardinermaine.com>
> W: www.gardinermaine.com<http://www.gardinermaine.com/>
> [cid:image002.jpg@01D5881D.4A5744C0]
>

Date Received: 10/21/19

Received by: KJG

Name of Business/Event/ Board/Committee: Jokers and Rogues, LLC

Date of Event: Entertainment + Victuals

	Minor Special Event	Major Special Event	Liquor License	DBA License	Junk Yard Permit	Other License	Boards & Committees
<u>Paid</u>			75	10		125	
<u>Email DH & CM</u>			10/21/19	10/21/19		10/21/19	
<u>Email Gardiner</u>							
<u>Main Street</u>							
<u>Approved by:</u>							
<u>CM</u>			CM	CM		all	
<u>PD</u>			10/22/19	10/22/19		10/22/19	
<u>FD</u>			10/21/19	10/21/19		10/21/19	
<u>PW&BG</u>			TZ	TZ		TZ	
<u>CEO</u>			10/21/19	10/21/19		10/21/19	
<u>ED</u>			10/22/19	10/22/19		10/22/19	
<u>Add to Agenda</u>			(11/13/19)			(11/13/19)	
<u>Legal Ad to KJ</u>							
<u>Add to Events</u>							
<u>Calendar</u>							
<u>Add to DBA List</u>							
<u>Issue</u>							
<u>License/Permit</u>							
<u>Notify Applicant of</u>							
<u>Council Meeting</u>							
<u>Notify Applicant of</u>							
<u>Approval or Denial</u>							
<u>Create Oath Sheet</u>							
<u>Swear in</u>							
<u>Contact Info to</u>							
<u>Board/Committee</u>							
<u>Update TRIO</u>							
<u>Update Website</u>							

Notes:

**Public Hearing
Notice**

City of Gardiner
Will hold a Public
Hearing on
Wednesday,
November 13, 2019 at
6pm at Gardiner City
Hall (6 Church Street)
to consider a new
Liquor License for
Jokers and Rogues
LLC which is located
at 339 Water Street,
Gardiner.

For more information,
visit City Hall during
normal business hours
or call 582-4200.